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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 10/044,355               |
| Filing Date            | January 10, 2002         |
| First Named Inventor   | Akira Kagami             |
| Group Art Unit         | 2161                     |
| Examiner Name          | Unknown                  |
| Attorney Docket Number | 36992.00092 (HAL ID 205) |

Total Number of Pages in This Submission

9

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☒ Request to Correct Filing Receipt

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copy of Official Filing Receipt Mailed 2/12/02 w/ Changes Indicated in Red

Copy of Express Mail Label date-stamped 1/10/02

Copy of Shipment History for Express Mail Label No.

EL 701 363 439 US

Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Aaron Wininger, Reg. No. 45,229  
Squire, Sanders & Dempsey, L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043

Signature

Date

March 13, 2002

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Aaron Wininger

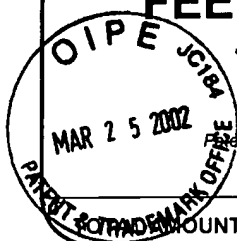
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Date

March 13, 2002

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 10/044,355               |
| Filing Date          | January 10, 2002         |
| First Named Inventor | Akira Kagami             |
| Examiner Name        | Unknown                  |
| Group / Art Unit     | 2161                     |
| Attorney Docket No.  | 36992.00092 (HAL ID 205) |

## METHOD OF PAYMENT (check all that apply)

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Deposit Account Name: Squire, Sanders & Dempsey, L.L.P.

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## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     |          |
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| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0)

### 2. EXTRA CLAIM FEES

|                    |  |        |   |   |   |                |   |   |
|--------------------|--|--------|---|---|---|----------------|---|---|
| Total Claims       |  | -20 ** | = | 0 | X | Fee from below | = | 0 |
| Independent Claims |  | -3 **  | = | 0 | X |                | = | 0 |
| Multiple Dependent |  |        |   |   | X |                | = | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

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## FEE CALCULATION (continued)

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|----------|-----------------------|----------|-----------------------|--|----------|
| 105      | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  |          |
| 127      | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139      | 130                   | 139      | 130                   | Non-English specification  |          |
| 147      | 2,520                 | 147      | 2,520                 | For filing a request for reexamination                                     |          |
| 112      | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110                   | 215      | 55                    | Extension for reply within first month                                     |          |
| 116      | 400                   | 216      | 200                   | Extension for reply within second month                                    |          |
| 117      | 920                   | 217      | 460                   | Extension for reply within third month                                     |          |
| 118      | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |          |
| 128      | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |          |
| 119      | 320                   | 219      | 160                   | Notice of Appeal   |          |
| 120      | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |          |
| 121      | 280                   | 221      | 140                   | Request for oral hearing   |          |
| 138      | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140      | 110                   | 240      | 55                    | Petition to revive - unavoidable   |          |
| 141      | 1,280                 | 241      | 640                   | Petition to revive - unintentional   |          |
| 142      | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)   |          |
| 143      | 460                   | 243      | 230                   | Design issue fee   |          |
| 144      | 620                   | 244      | 310                   | Plant issue fee  |          |
| 122      | 130                   | 122      | 130                   | Petitions to the Commissioner  |          |
| 123      | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126      | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740                   | 246      | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149      | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740                   | 279      | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169      | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

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## SUBMITTED BY

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|                   |              |                                  |        |           |                |
|-------------------|--------------|----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Aaron Winger | Registration No. Attorney/Agent) | 45,229 | Telephone | 650.856.6500   |
| Signature         |              |                                  |        | Date      | March 13, 2002 |

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for FY 2002

MAR 25 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 10/044,355               |
| Filing Date          | January 10, 2002         |
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| Attorney Docket No.  | 36992.00092 (HAL ID 205) |

## METHOD OF PAYMENT (check all that apply)

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☒ Deposit Account:

Deposit Account Number 05-0150

Deposit Account Name Squire, Sanders &amp; Dempsey, L.L.P.

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☒ Charge any additional fee(s) during the pendency of this application  
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## 2. EXTRA CLAIM FEES

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## FEE CALCULATION (continued)

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| 169      | 900               | 169      | 900               | Request for expedited examination of a design application                  |          |

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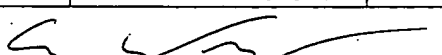
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SUBTOTAL (3)

(\$ 0)

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|                   |   |                                  |         |           |                |
|-------------------|---|----------------------------------|---------|-----------|----------------|
| Name (Print/Type) | Aaron Wininger  | Registration No. Attorney/Agent) | 45,229. | Telephone | 650.856.6500   |
| Signature         |  |                                  |         | Date      | March 13, 2002 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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By: [Signature]  
Aaron Wininger

|   |                      |
|---|----------------------|
| In Re Application of                                |                      |
| Akira Kagami, et al.                                |                      |
| Serial No. 10/044,355                               | Examiner: Unknown    |
| Filing Date: January 10, 2002                       | Group Art Unit: 2161 |
| For: SAN INFRASTRUCTURE ON DEMAND<br>SERVICE SYSTEM |                      |

Office of Initial Patent Examination  
Customer Service Center  
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Washington, D.C. 20231

REQUEST TO CORRECT FILING RECEIPT

- Attached is a copy of the Official Filing Receipt as received from the U.S. Patent And Trademark Office with regard to the above-identified patent application for which issuance of a corrected Filing Receipt is respectfully requested. The errors are shown in red on the Filing Receipt as well as described below.
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  - ☐ Attorney Docket No.
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**Assignment For Published Patent Application**

**Hitachi, Ltd., Tokyo, Japan**

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Date: March 13, 2002

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043  
Telephone: 650-856-6500  
Facsimile: 650-843-8777

By: 

Aaron Wininger  
Attorney for Applicant  
Reg. No. 45,229



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING DATE                         | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO                    | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------------------------------|--------------|---------------|------------------------------------|----------|------------|------------|
| 10/044,355         | <del>01/09/2002</del><br>01/10/2002 | 2161         | 908           | <u>36992.00092</u><br>(HAL-ID-205) | 16       | 20         | 5          |

CONFIRMATION NO. 8200

30256  
SQUIRE, SANDERS & DEMPSEY L.L.P.  
600 HANSEN WAY  
PALO ALTO, CA 94304-1043

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## Applicant(s)

Akira Kagami, Los Gatos, CA;  
Masayuki Yamamoto, Sunnyvale, CA;

DATES ENTERED \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Assignment For Published Patent Application

Hitachi, Ltd., Chiyoda-ku, JAPAN;  
Tokyo

MAR 06 2002

## Domestic Priority data as claimed by applicant

CALENDARED  
BY \_\_\_\_\_  
ATTORNEY \_\_\_\_\_  
SQUIRE, SANDERS & DEMPSEY

## Foreign Applications

If Required, Foreign Filing License Granted 02/11/2002

Projected Publication Date: 07/10/2003

Non-Publication Request: No

Early Publication Request: No

## Title

SAN Infrastructure on demand service system

**Preliminary Class**

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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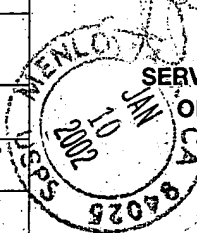
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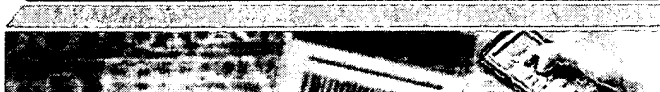




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Keyword/Search

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